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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of: Kiyohito YOSHIHARA et al.

Serial Number: 09/963,705

Group Art Unit: 2161

Filed: September 27, 2001

Examiner: Unassigned

For: **MANAGEMENT DEVICE AND MANAGED DEVICE IN POLICY BASED MANAGEMENT SYSTEM**

INFORMATION DISCLOSURE STATEMENT
PURSUANT TO 37 CFR 1.97(b)

Commissioner for Patents
Washington, D.C. 20231

January 18, 2002

Sir:

The attention of the Patent and Trademark Office is hereby directed to the documents listed on the attached Form PTO-1449. One copy of each of these documents is attached.

No fee or certification is required in connection with this Information Disclosure Statement, since it is being submitted prior to the issuance of a first official action on the merits or expiration of the three month period following the filing date or the entry of the national stage of the above-captioned application.

The above information is presented so that the Patent and Trademark Office can, in the first instance, determine any materiality thereof to the claimed invention. See 37 CFR 1.104(a) concerning the PTO duty to consider and use any such information. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the documents cited in the attached Form PTO-1449 be made of record therein and appear on the first page of any patent to issue therefrom.

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Serial No.: **09/963,705**
Applicant: **Kiyohito YOSHIHARA et al.**

Attorney Docket No. **011153**
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The Commissioner is authorized to charge our Deposit Account No. 01-2340 for any fee which is deemed by the Patent and Trademark Office to be required to effect consideration of this statement.

Respectfully submitted,

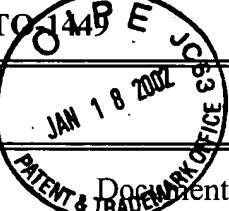
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Enclosures: PTO-1449 with 3 References

INFORMATION DISCLOSURE CITATION PTO 149 E 	Atty. Docket No. 011153	Serial No. 09/963,705
	Applicant: Kiyohito YOSHIHARA et al.	
	Filing Date: September 27, 2001	Group Art Unit:

U.S. PATENT DOCUMENTS

Examiner Initial	Document No.	Name	Date	Class	Subclass	Filing Date (If appropriate)
_____	AA					
_____	AB					
_____	AC					
_____	AD					
_____	AE					

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FOREIGN PATENT DOCUMENTS

	Document No.	Date	Country	Translation (Yes or No)
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_____	AI			
_____	AJ			

OTHER DOCUMENTS

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_____	AL	<i>Active Policy in Knowledge Hyperspace</i> , Takeo Hamada et al., In Proc. of 1999 Asia-Pacific Network Operations and Management Symposium (APNOMS'99), September 1999, pp. 1-15.
_____	AM	<i>Designing Policy Networking Systems Using Active Networks</i> , Kei Kato et al., H. Yasuda (Ed.): IWAN 2000, LNCS 1942, pp. 316-325, 2000, Springer-Verlag Berlin Heidelberg 2000.

Examiner

Date Considered